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## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>T298055PC/nu</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/FI 99/00581</b>	International filing date (day/month/year) <b>30 June 1999</b>	(Earliest) Priority Date (day/month/year) <b>3 July 1998</b>
Applicant <b>NOKIA TELECOMMUNICATIONS OY et al.</b>		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 2 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. ☐ Certain claims were found unsearchable (See Box I).
2. ☐ Unity of invention is lacking (See Box II).
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6. The figure of the drawings to be published with the abstract is:
  - Figure No. 6 ☒ as suggested by the applicant. ☐ None of the figures.
  - ☐ because the applicant failed to suggest a figure.
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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 99/00581

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H04B 1/69, H04B 1/707

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H04B, H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,A	EP 0944275 A2 (HITACHI, LTD.), 22 Sept 1999 (22.09.99), column 2, line 50 - column 3, line 11; column 9, line 3 - line 45 --	1-56
A	US 5533013 A (P. LEPPANEN), 2 July 1996 (02.07.96), abstract --	1-56
A	EP 0600713 A2 (NOKIA MOBILE PHONES LTD. ET AL.), 8 June 1994 (08.06.94), abstract -- -----	1-56

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

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**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

02/12/99

International application No.

PCT/FI 99/00581

Patent document cited in search report			Publication date	Patent family member(s)	Publication date
EP	0944275	A2	22/09/99	NONE	
US	5533013	A	02/07/96	AU 676856 B	27/03/97
				AU 5210493 A	16/06/94
				CN 1094204 A	26/10/94
				EP 0600713 A	08/06/94
				FI 925472 A	02/06/94
				JP 6318927 A	15/11/94
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				AU 5210493 A	16/06/94
				CN 1094204 A	26/10/94
				FI 925472 A	02/06/94
				JP 6318927 A	15/11/94
				US 5533013 A	02/07/96

A.D

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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/FI99/00581</p> <p>(22) International Filing Date: 30 June 1999 (30.06.99)</p> <p>(30) Priority Data: 981546 3 July 1998 (03.07.98) FI</p> <p>(71) Applicant (for all designated States except US): NOKIA NETWORKS OY [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): TOSKALA, Antti [FI/FI]; Katajaharjuntie 4 C 48, FIN-00200 Helsinki (FI). HONKASALO, Zhi-Chun [GB/FI]; Martankuja 10, FIN-02700 Kauniainen (FI).</p> <p>(74) Agent: PATENTTITOIMISTO TEKNOPOLOIS KOLSTER OY; Kolster OY AB, Iso Roobertinkatu 23, P.O. Box 148, FIN-00121 Helsinki (FI).</p>	<p>(81) Designated States: AE, AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> In English translation (filed in Finnish). Without international search report and to be republished upon receipt of that report.</p>
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(54) Title: DATA TRANSMISSION METHOD AND MOBILE TELEPHONE SYSTEM

(57) Abstract

The invention relates to a method for transmitting data from a radio network subsystem (RNS) to user equipment (UE) in a mobile telephone system, a radio network subsystem (RNS) and user equipment (UE). In the method, (606) the radio network subsystem (RNS) transmits a dedicated control channel to the user equipment (UE) and (610) a dedicated traffic channel of variable data transmission rate to the user equipment (UE). During transmission, (608, 612) the radio network subsystem spreads each channel with a spreading code, and (602) the spreading code used to spread the traffic channel is changed according to the required data transmission rate. According to the invention, (604) each control channel frame indicates the spreading code with which the corresponding traffic channel is spread when transmitted.

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graph TD
    600([600 START]) --> 602[602 SELECT SPREADING CODE X FOR TRAFFIC CHANNEL ACCORDING TO NEEDED CAPACITY]
    602 --> 604[604 PLACE SPREADING CODE X'S ID IN THE CONTROL CHANNEL FRAME]
    604 --> 606[606 TRANSMIT THE CONTROL CHANNEL FRAME]
    606 --> 608[608 SPREAD THE SIGNAL WITH SPREADING CODE Y]
    608 --> 610[610 TRANSMIT THE TRAFFIC CHANNEL FRAME]
    610 --> 612[612 SPREAD THE SIGNAL WITH SPREADING CODE X]
    612 --> 614([614 END])
  
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## DATA TRANSMISSION METHOD AND MOBILE TELEPHONE SYSTEM

### FIELD OF THE INVENTION

The invention relates to a method for transmitting data from a radio network subsystem to user equipment in a mobile telephone system. The invention relates in particular to changing the data transmission rate of a traffic channel, especially a packet traffic channel during a radio link in a universal mobile telephone system.

### BACKGROUND OF THE INVENTION

One of the biggest problems in mobile telephone systems is an efficient use of a limited radio capacity. In present systems, a certain amount of capacity is reserved for each user for a circuit-switched call during the entire radio link. When using packet-switched transmission, in which the data to be transmitted is typically generated in bursts, it is a waste of radio capacity to keep the radio capacity reserved all the time according to the greatest momentary data transmission need.

In systems using the code division multiple access (CDMA) method, in a downlink from a radio network subsystem to user equipment, different users use the same code tree in which the spreading codes used in the system are arranged mutually orthogonally. If a spreading code having a small spreading factor allowing a high transmission rate is reserved for one user, the code in question can reserve a large part of the capacity of the radio network subsystem in question, or its base transceiver station. In a code tree used by one base transceiver station, one sector of the base transceiver station can use sixteen mutually orthogonal sixteen-character-long spreading codes, for instance, in which case the entire capacity of the base transceiver station can at one moment be in use and a new user will not have access to any data transmission resources for a downlink.

On an uplink, this problem does not exist, since each user has access to the entire code tree of the base transceiver station. Various users are distinguished from each other with a scrambling code which is specific for each transmitter. For instance, a universal mobile telecommunication system (UMTS) using a direct-sequence wideband code division multiple access method (DS W-CDMA) can have 512 different scrambling codes and 256 different spreading codes on a downlink. On an uplink, the number of scrambling codes can be much higher, even millions of different codes. It is

interesting to note that a base transceiver station normally uses only one scrambling code for each transmitter.

In present mobile telephone systems, the data transmission rates used for both speech and data have been relatively low, and it has been possible to keep the resource problem under control. In new mobile telephone systems, the data transmission rates used will be considerably higher than in the present systems due to the wireless remote use of various computer applications. The computer applications include various database applications, e-mail, WWW browser, etc.

For instance, the CDMA2000 system discloses a solution in which fundamental channels and supplementary channels are used. The fundamental channel transmits the signalling of the MAC (Medium Access Control) sub-layer of the data link layer, which indicates whether a supplementary channel with a higher transmission rate is used in addition to the fundamental channel. A problem with this solution is that it does not support fast changing of the channel transmission rate by changing the spreading code, since MAC sub-layer signalling is used to change the spreading code and it is a relatively slow process.

#### BRIEF DESCRIPTION OF THE INVENTION

It is thus an object of the invention to develop a method and an apparatus implementing the method so as to solve the above problems. This is achieved by the method described in the following. The method in question is a method for transmitting data from a radio network subsystem to user equipment in a mobile telephone system, comprising: the radio network subsystem transmits a dedicated control channel to the user equipment; the radio network subsystem transmits a dedicated traffic channel of variable data transmission rate to the user equipment; during transmission, the radio network subsystem spreads each channel with a spreading code; the spreading code used to spread the traffic channel is changed according to the required data transmission rate. In the method in question, each frame of the control channel indicates the spreading code with which the corresponding traffic channel frame is spread when transmitted.

The invention also relates to a radio network subsystem which is adapted to: transmit a dedicated control channel to the user equipment; transmit a dedicated traffic channel of variable data transmission rate to the

user equipment; spread each channel with a spreading code during transmission; change the spreading code used to spread the traffic channel according to the required data transmission rate. The radio network subsystem is adapted to indicate in each control channel frame the spreading code with which the corresponding traffic channel frame is spread when transmitted.

The invention further relates to user equipment which is adapted to: receive a dedicated control channel transmitted by the radio network subsystem; receive a dedicated traffic channel of variable data transmission rate transmitted by the radio network subsystem; remove the spreading of each channel with a spreading code. The user equipment is adapted to read from each control channel frame the spreading code with which the corresponding traffic channel frame is spread.

Preferred embodiments of the invention are disclosed in the dependent claims.

The invention is based on indicating in each control channel frame the spreading code with which the corresponding traffic channel is spread.

The method and system of the invention provide several advantages. The data transmission rate can quickly be changed, even by each frame, by selecting a suitable spreading code. This enables an efficient utilization of radio resources.

#### BRIEF DESCRIPTION OF THE DRAWINGS

In the following, the invention will be described in greater detail in connection with preferred embodiments with reference to the attached drawings in which:

Figures 1A and 1B show a mobile telephone system,  
Figure 2A shows a transmitter and receiver of the mobile telephone system,

Figure 2B shows spreading and modulation performed in the transmitter,

Figure 3 shows channels of the mobile telephone system in a frame,

Figure 4A shows a code tree,

Figure 4B shows a sub-code tree,

Figure 5 shows user equipment,

Figure 6 shows a flow chart of the operations of the invention.



## DETAILED DESCRIPTION OF THE INVENTION

The invention can be used in various mobile telephone systems that use the code division multiple access method (CDMA). The examples illustrate the use of the invention in a universal mobile telephone system using a direct-  
5 sequence wideband code division multiple access method, without limiting the invention to it, however. The examples are based on the specification of the WCDMA system, further information on which is available in the ETSI (European Telecommunications Standards Institute) specification "The ETSI UMTS Terrestrial Radio Access (UTRA) ITU-R RTT Candidate Submission  
10 (Tdoc SMG2 260/98, May/June 1998), which is incorporated herein by reference.

The structure of a universal mobile telephone system is described with reference to Figures 1A and 1B. Figure 1B includes only the blocks that are essential for describing the invention, but it is obvious to those skilled in  
15 the art that a common mobile telephone system also contains other functions and structures which need not be described in detail herein. The main parts of a mobile telephone system are a core network CN, a universal mobile telephone system (UMTS) terrestrial radio access network UTRAN, and user equipment UE. The interface between CN and UTRAN is referred to as Iu and  
20 the air interface between UTRAN and UE is referred to as Uu.

UTRAN comprises radio network subsystems RNS. The interface between RNSs is referred to as Iur. An RNS comprises a radio network controller RNC and one or more nodes B. The interface between RNC and B is referred to as Iub. The service area of node B, i.e. cell, is indicated with C in  
25 Figure 1B.

As the presentation in Figure 1A is very abstract, it is therefore clarified in Figure 1B by setting forth the parts of the GSM system that approximately correspond to the parts of the UMTS. It should be noted that the mapping shown is by no means a binding one but an approximation, since the  
30 responsibilities and functions of the different parts of the UMTS are still being planned.

Figure 1B shows a packet transfer through the Internet 102 from a computer 100 connected to a mobile telephone system to a portable computer 122 connected to user equipment UE. The user equipment UE can, for  
35 instance, be a fixed terminal, a terminal arranged in a vehicle, or a portable terminal. The infrastructure of a radio network UTRAN comprises radio

network subsystems RNS, i.e. base transceiver station systems. A radio network subsystem RNS comprises a radio network controller RNC, i.e. base station controller, and at least one node B, i.e. base transceiver station, under its control.

5           The base transceiver station B has a multiplexer 114, transceivers 116 and a control unit 118 which controls the operation of the transceivers 114 and the multiplexer 116. With the multiplexer 116, the traffic and control channels used by several transceivers 114 are placed in the transmission link lub.

10           The transceivers 114 of the base transceiver station B are connected to an antenna unit 120 with which a bi-directional radio link Uu is implemented to user equipment UE. The structure of the frames to be transmitted over the bi-directional radio link Uu is clearly specified.

          The radio network controller RNC comprises a group switching field  
15   110 and control unit 112. The group switching field 110 is used for speech and data connection and to connect signalling circuits. The base station system formed by the base transceiver station B and the radio network controller RNC also comprises a transcoder 108. Work distribution between the radio network controller RNC and the base transceiver station B as well as their physical  
20   structure can vary depending on implementation. Typically, the base transceiver station B takes care of the radio path implementation as described above. The radio network controller RNC typically takes care of the following: management of radio resources, control of handover between cells, power adjustment, timing and synchronization, paging the user equipment.

25           The transcoder 108 is usually located as close as possible to a mobile switching centre 106, because speech can then be transmitted in mobile telephone system format between the transcoder 108 and the radio network controller RNC saving transmission capacity. The transcoder 108 converts the different digital coding formats of speech used between the public  
30   switched telephone network and the mobile telephone network to be compatible with each other, for instance from the 64 kbit/s format of a public network to another (e.g. 13 kbit/s) format of a cellular network and vice versa. The hardware required is not described in detail herein, but it can be noted that other data than speech is not converted in transcoder 122. The control  
35   unit 112 takes care of call control, mobility management, collection of statistics, and signalling.

Core network CN comprises an infrastructure belonging to a mobile telephone system and external to UTRAN. Figure 1B describes two of the components in a core network CN, i.e. a mobile switching centre 106 and a gateway mobile switching centre 104 which handles the connections of the mobile telephone system to the outside world, in this case, to the Internet 102.

Figure 5 shows an example of the structure of user equipment UE. The essential parts of the user equipment UE are: an interface 504 to the antenna 502 of the user equipment, a transceiver 506, a control part 510 of the user equipment, and an interface 512 to a battery 514. The user interface usually comprises a display 500, a keyboard 508, a microphone 516, and a loudspeaker 518.

Figure 2A describes the operation of a radio transmitter - radio receiver pair. Figure 2A describes a case of downlink where the radio transmitter is located in node B and the radio receiver in the user equipment UE.

The upper part of Figure 2A describes the essential functions of the radio transmitter. Various services located in the physical channel include speech, data, moving or still video picture, and control channels of the system which are processed in the control part 214 of the radio transmitter. The figure shows the processing of the control channel and data. Different services require different source coding means, for instance speech requires a speech codec. For clarity's sake, source coding means are not, however, described in Figure 2A.

Different channel coding is then performed for different channels in blocks 202A and 202B. Channel coding includes, for instance, different block codes, an example of which is cyclic redundancy check (CRC). In addition, convolution coding and its various modifications, such as punctured convolution coding or turbo coding, are typically used.

When the different channels have been channel-coded, they are interleaved in an interleaver 204A, 204B. The purpose of interleaving is to facilitate error correction. In interleaving, the bits of different services are mixed in a certain manner together, in which case a momentary fade in the radio path does not necessarily make the transmitted information unidentifiable. The interleaved bits are then spread with a spreading code, scrambled with a scrambling code and modulated in block 206A, 206B, the

operation of which is described in greater detail in Figure 2B. Individual signals are combined in block 208 to be transmitted through one transmitter.

Finally, the combined signal is forwarded to radio frequency parts 210 which can comprise different power amplifiers and filters restricting bandwidth. The analogous radio signal is then transmitted through an antenna 212 to the radio path Uu.

The lower part of Figure 2A describes the essential functions of the radio receiver. The radio receiver is typically a RAKE receiver. An analogous radio frequency signal is received from the radio path Uu with an antenna 234. The signal is forwarded to radio frequency parts 232 comprising a filter which prevents all frequencies outside the desired bandwidth. After this, the signal is converted in a demodulator 230 to an intermediate frequency or directly to a base band, and the thus converted signal is then sampled and quantized.

As the signal in question is a multi-path propagated signal, the aim is to combine the signal components that propagated via different paths in block 228 which comprises several RAKE fingers of prior art. The signal components received at different time delays by the RAKE fingers are searched for by correlating the received signal with the spreading codes used and delayed by predefined time delays. When the time delays of the signal components have been found, the signal components belonging to the same signal are combined. At the same time, the spreading of the signal components is removed by multiplying the signal by the spreading code of the physical channel. The interleaving of the received physical channel is then removed in deinterleaving means 226.

The deinterleaved physical channel is then distributed to the data streams of various channels in a demultiplexer 224. The channels are directed each to its own channel decoding block 222A, 222B where the channel coding, for instance block coding or convolution coding, used in transmission is decoded. Convolution coding is preferably decoded with a Viterbi decoder. Each transmitted channel 220A, 220B can then be forwarded to a required further processing, for instance data 220 is forwarded to a computer 122 connected to the user equipment UE. The control channels of the system are forwarded to the control part 236 of the radio receiver.

Figure 2B describes in greater detail the spreading of a channel with a spreading code and its modulation. In the figure, the bit stream of the channel arrives from the left to block S/P in which each two-bit sequence is

converted from serial mode to parallel mode, i.e. one bit is forwarded to the I branch of the signal and the second bit to the Q branch. Then the I and Q branches of the signal are multiplied by the same spreading code  $C_{ch}$ , in which case the relatively narrow-band information is spread on a wide frequency band. Each link Uu has its own spreading code by which the receiver identifies transmissions meant for it. The signal is then scrambled by multiplying it by a scrambling code  $C_{scramb}$  which is different for each transmitter. The pulse format of the obtained signal is filtered with a filter  $p(t)$ . Finally, the signal is modulated to a radio frequency carrier by multiplying its different branches offset by 90 degrees from each other, the thus obtained branches are combined into one carrier which is ready to be transmitted to the radio path Uu apart from possible filtering and power amplifications. The described modulation method is QPSK (Quadrature Phase Shift Keying).

Figure 4A describes different spreading codes. Each point 400 represents one possible spreading code. The vertical dashed lines illustrate different spreading factors  $SF=1$ ,  $SF=2$ ,  $SF=4$ ,  $SF=8$ ,  $SF=16$ ,  $SF=32$ ,  $SF=64$ ,  $SF=128$ ,  $SF=256$ . The codes on each vertical dashed line are mutually orthogonal. It is thus possible to simultaneously use at most two hundred and fifty six different mutually orthogonal spreading codes. For instance in UMTS, when using a 4.096-megachip carrier, a spreading factor of  $SF=256$  corresponds to a transmission rate of thirty two kilobits per second, and correspondingly the highest practical transmission rate is achieved with spreading factor  $SF=4$ , with which the data transmission rate is two thousand forty eight kilobits per second. The transmission rate in the channel varies thus step by step, 32, 64, 128, 256, 512, 1024, and 2048 kbit/s, while the spreading factor changes correspondingly, 256, 128, 64, 32, 16, 8, and 4. The data transmission rate available to the user depends on the channel coding used. For instance, when using 1/3 convolution coding, the data transmission rate of the user is approximately one third of the data transmission rate of the channel. The spreading factor indicates the length of the spreading code. For instance, the spreading code corresponding to spreading factor  $SF=1$  is (1). Spreading factor  $SF=2$  has two mutually orthogonal spreading codes (1,1) and (1,-1). Further, spreading code  $SF=4$  has four mutually orthogonal spreading codes: under the higher-level spreading code (1,1), there are spreading codes (1,1,1,1) and (1,1,-1,-1), and under the second higher-level spreading code (1,-1), there are spreading codes (1,-1,1,-1) and (1,-1,-1,1). The formation of

spreading codes is thus continued towards the lower levels of the code tree. The spreading codes of a certain level are always mutually orthogonal. Similarly, a spreading code of a certain level is orthogonal with all lower-level spreading codes derived from another spreading code on the same level.

5           With reference to Figure 3, an example will be described as to what kind of frame structure can be used in a physical channel. Frames 340A, 340B, 340C, 340D are numbered sequentially from one to seventy two and they form a 720-millisecond long super frame. The length of one frame 340C is 10 milliseconds. Frame 340C is divided into sixteen slots 330A, 330B, 330C,  
10   330D. The length of one slot 330C is 0.625 milliseconds. One slot 330C typically corresponds to one power adjustment period during which power is adjusted one decibel up or down, for instance.

Physical channels are divided into two different types: dedicated physical data channels (DPDCH) 310 and dedicated physical control channels  
15   (DPCCH) 312. Dedicated physical data channels 310 are used to transmit data 306 which is generated on the second layer and above of OSI (Open Systems Interconnection), i.e. the dedicated control channels and dedicated traffic channels. Dedicated physical control channels 312 transmit control information generated on the first layer of OSI. Control information comprises:  
20   pilot bits 300 used in channel estimation, transmit power control commands (TPC) 302, and optionally a transport format indicator (TFI) 304. The transport format indicator 304 indicates to the receiver the transmission rate used for each dedicated physical data channel of the uplink at a given time.

As shown in Figure 3, the dedicated physical data channels 310  
25   and the dedicated physical control channels 312 on the downlink are time-multiplexed to the same slot 330C. However, on the uplink, the channels in question are transmitted parallel so that they are IQ/code-multiplexed (I=in-phase, Q=quadrature) to each frame 340C and transmitted using dual-channel QPSK modulation (dual-channel quadrature phase-shift keying modulation).  
30   When additional dedicated physical data channels 310 need to be transmitted, they are code-multiplexed to the I or Q branch of the first channel pair.

The method of the invention for transmitting data from a radio network subsystem RNS to user equipment UE can be illustrated by the flow chart in Figure 6. The execution of the method for a single radio frame is  
35   started from block 600.

Next, in block 602, the spreading code used in spreading the traffic channel is changed according to the required data transmission rate. The spreading code selected for the traffic channel is marked X.

5 Next, in block 604, each control channel frame indicates the spreading code with which the corresponding traffic channel frame is spread when transmitted. In other words, the identification data of the spreading code X are entered in the control channel frame.

10 In block 606, the radio network subsystem RNS transmits a dedicated control channel frame to the user equipment UE. During transmission, the operation in block 608, for instance, is performed, in which the radio network subsystem RNS spreads each channel with a spreading code. The spreading code selected here for the control channel is marked Y.

15 In block 610, the radio network subsystem RNS transmits a dedicated traffic channel of variable data transmission rate to the user equipment UE. The variable data transmission rate is achieved by changing the spreading code; as described in connection with Figure 4A, each spreading factor has a different data transmission rate. During transmission, the operation in block 6012, for instance, is performed, in which the radio network subsystem RNS spreads each channel with a spreading code, i.e. the traffic channel is spread with the selected spreading code X.

20 Thus, in the invention, the transmitter transmits the traffic channel frame spread with the spreading code X. The transmitter transmits the control channel frame associated with the traffic channel in question spread with the spreading code Y. The control channel frame indicates that the corresponding traffic channel frame has been spread with the spreading code X. This way, the receiver can remove the spreading of the traffic channel frame in question. Thus, the receiver need not know in advance what the data transmission capacity / spreading code of the traffic channel frame in question is.

25 The association of the control channel frame and the traffic channel frame with each other must be indicated in some way. The easiest way to do this is to combine the association with timing, for instance so that the frames are transmitted at approximately the same time. The control channel frames and traffic channel frames associated with each other are preferably transmitted on the same frequency, spread with different spreading codes, and substantially simultaneously, i.e. separated by one frame length at most.

35

In a preferred embodiment of the invention, the identification data of the spreading code X used to spread the traffic channel is entered into the transport format indicator in the control channel frame. This provides the advantage that new fields need not be defined for this function.

5           A part of the code tree shown in Figure 4A must be reserved for the use of the control channels. The spreading factor can also be increased to 1024, for instance, by extending the code tree to lower levels, whereby an adequate data transmission rate of eight kilobits per seconds could be achieved.

10           Figure 4B shows one preferred embodiment in which the code tree is divided into sub-code trees, and one branch in a level is a tree access point to the sub-code tree in question, and the branches below the tree access point belong to the sub-code tree in question. In Figure 4B, one of the eight spreading codes of the spreading factor  $SF=8$  has been chosen as the tree access point TAP of the sub-code tree. The size of the sub-code tree has also  
15           been restricted so that the spreading codes of the spreading factor  $SF=256$  are not meant to be used, since their data transmission rate 32 kbit/s is relatively low. Data transmission rates of 64 kbit/s, 128 kbit/s, 256 kbit/s, 512 kbit/s, and 1024 kbit/s can thus be achieved with the selected sub-code tree.  
20           The presented sub-code tree is only one example of several possible sub-code trees, the specification of sub-code trees in the system depends on the properties required in the system, such as traffic volume. The data transmission rate of the traffic channel is changed by changing the length of its spreading code, i.e. by moving between the levels of the sub-code tree.

25           In one preferred embodiment, each spreading code of a sub-code tree is numbered in an agreed manner, and the number in question is entered into the transport format indicator. In the example of Figure 4B, the spreading codes are numbered from 1 to 32. The numbers 1 to 31 each identify one spreading code and the number 32 indicates that no spreading code is used in  
30           the frame in question, i.e. the capacity of the frame in question can be freely utilized by another link with certain restrictions.

          The restrictions are due to the fact that the spreading codes used at each instant must be mutually orthogonal. A spreading code can be used only if no other code on the path to the tree access point TAP of the sub-tree is  
35           being used and none of the spreading codes on the paths on the levels below the spreading code in question are being used. For instance, if the spreading



code 4 is being used, the spreading codes 8, 9, 16, 17, 18, and 19 on the levels below it cannot be used. Instead, the spreading codes 5, 6, 7, and all spreading codes on levels below them, i.e. 10 to 15 and 20 to 31, can be used. If spreading code 1 is being used, none of the spreading codes 2 to 31 of the sub-code tree can be used.

The numbering of the spreading codes in a sub-code tree can also be implemented in such a way that one number corresponds to two or more parallel spreading codes. This way, using multi-code reception in the receiver, the use of too small spreading ratios can be avoided, for instance in unfavorable propagation conditions of radio waves or due to the restrictions of the receiver in the user equipment. When higher transmission rates are needed, the numbering of the sub-code tree can be begun from the lower spreading code levels.

A typical situation could be that the system has several users of a 64 kbit/s link, which means that the spreading codes 16 to 27, for instance, are being used. In such a case, the spreading codes 1 to 6 and 8 to 13 can, of course, not be used. Instead, the spreading codes 7, 14, 15, and 28 to 31 are available for use. The system can thus, in addition to the above users, have one user, for instance, who uses the spreading code 7 and, with it, the transmission rate 256 kbit/s.

According to the rules described above, it is thus possible to select from the same sub-code tree a spreading code for one or more units of user equipment. The radio network subsystem allocates the spreading codes. When the sub-code tree becomes congested, the user equipment can be transferred to another sub-code tree. The described method is good as regards data security, too, because it does not matter, if a receiver, to which a frame does not belong, were to detect the frame by accident, since the protection used on the higher levels, for instance ciphering in a GSM system, makes sure that the data within the frame cannot be read. Alternatively, the matter can be taken care of by scrambling, as is done in the first layer of the CDMA2000 system.

Processing channels in the radio interface Uu is performed with a protocol architecture comprising a physical layer, data link layer and network layer of the ISO (International Standardisation Organisation) OSI (Open Systems Interconnection) model. The protocol stacks are located both in the radio network subsystem RNS and in the user equipment UE. The data link

layer is divided into two sub-layers: the MAC (Medium Access Control) sub-layer and the LAC (Link Access Control) sub-layer. Typically, the services provided by the physical layer for the higher layers determine the transmission channel and its properties, such as the spreading code used. The MAC sub-layer's task is to control access to the physical layer; the selection of the transport format indicator, for instance, is performed in this sub-layer. The signalling of the physical layer, data link layer and network layer is transmitted in the control channel.

The user equipment does not send an acknowledgement to the radio network subsystem after receiving the transport format indicator, but this signalling is performed as signalling of the physical layer without acknowledgement. In poor radio conditions, this may result in that the user equipment cannot read the spreading code with which the corresponding traffic channel frame was transmitted. In such a case, the protocols of the higher layers manage the re-transmission of the packet using the ARQ (Automatic Repeat Request) method.

The radio network subsystem signals the tree access point of the sub-code tree to the user equipment, and the user equipment sends an acknowledgement to the radio network subsystem. This signalling is preferably performed between the MAC sub-layers, because the tree access point of the sub-code tree is not changed very often, and it is necessary to make sure that, in case of failure in signalling, a relatively difficult re-signalling need not be performed.

In one preferred embodiment, the radio network subsystem transmits the traffic channel frames in a synchronized manner to the units of user equipment which belong to the same sub-code tree. This provides the advantage that the allocation of the sub-code tree between various links is easier, since the reservations of different codes are freed and the reservations of new codes are made always at certain instants, generally at one-frame intervals.

In the invention, the required data transmission rate of the control channel is preferably as low as possible, because the required signalling does not require a lot of data transmission capacity; one possible data transmission rate is eight kilobits per second.

In one preferred embodiment, the control channel contains pilot bits for channel estimation. This way, pilot bits are not necessarily needed in the

traffic channel, i.e. the traffic channel contains only useful load of the user. Channel estimation can be done by means of the control channel pilot bits only, because the signal passes through the same channel, only the spreading code is different.

5           In one preferred embodiment, the radio network subsystem transmits the control channel frames of different user equipment as non-simultaneously as possible. This procedure facilitates channel estimation, because the pilot bits overlap as little as possible during different connections.

10           In one preferred embodiment, other than control data, for instance data or speech, is transmitted in the free capacity of the control channel frame. The data can even be circuit-switched link packets, because the control channel capacity is reserved during the entire link, during which the data transmission rate of the control channel is fixed.

15           The same spreading code is typically always used in spreading the control channel. Only during handover may the control channel spreading code need to be changed. The control channel spreading code in question is selected as described above either from the part of the code tree reserved for the use of the control channels or from a code space outside the code tree. However, the spreading codes must be mutually orthogonal, which is why, for  
20           clarity's sake, all system codes are usually formed by means of a code tree.

          The invention is preferably implemented by means of software. The processing required in the radio network subsystem necessitates changes to the protocol processing software and to the control of transmitter operation. Correspondingly, in the user equipment, it is necessary to make changes to  
25           the protocol processing software and to the control of receiver operation.

          Even though the invention has been explained in the above with reference to examples in accordance with the accompanying drawings, it is obvious that the invention is not restricted to them but can be modified in many ways within the scope of the inventive idea disclosed in the attached claims.

## CLAIMS

1. A method for transmitting data from a radio network subsystem (RNS) to user equipment (UE) in a mobile telephone system, comprising:

- (606) the radio network subsystem (RNS) transmits a dedicated control channel to the user equipment (UE);
- (610) the radio network subsystem (RNS) transmits a dedicated traffic channel of variable data transmission rate to the user equipment (UE);
- (608, 612) during transmission, the radio network subsystem (RNS) spreads each channel with a spreading code;
- (602) the spreading code used to spread the traffic channel is changed according to the required data transmission rate,

**characterized** in that (604) each control channel frame indicates the spreading code with which the corresponding traffic channel frame is spread when transmitted.

2. A method as claimed in claim 1, **characterized** in that the control channel and traffic channel frames associated with each other are transmitted on the same frequency, spread with a different spreading code, and substantially simultaneously, i.e. separated by one frame length at most.

3. A method as claimed in claim 1, **characterized** in that the control channel frame comprises a transport format indicator in which the spreading code used to spread the traffic channel is disclosed.

4. A method as claimed in claim 1, **characterized** in that the spreading codes are arranged into a code tree in such a manner that on the first level, the code tree root comprises a one-bit spreading code, the second level comprises two branches with mutually orthogonal two-bit spreading codes, the third level comprises four branches with mutually orthogonal four-bit spreading codes, the fourth level comprises eight branches with mutually orthogonal eight-bit spreading codes, the fifth level comprises sixteen branches with mutually orthogonal sixteen-bit spreading codes, the sixth level comprises thirty two branches with mutually orthogonal thirty-two-bit spreading codes, the seventh level comprises sixty four branches with mutually orthogonal sixty-four-bit spreading codes, the eighth level comprises one hundred and twenty eight branches with mutually orthogonal 128-bit spreading codes, the ninth level comprises two hundred and fifty six branches with mutually orthogonal 256-bit spreading codes.

5. A method as claimed in claim 4, **characterized** in that a part of the spreading codes of the code tree are reserved for the use of the control channels.

5 6. A method as claimed in claim 4, **characterized** in that the code tree is divided into sub-code trees and one branch in a level is a tree access point to a sub-code tree, and the branches below the tree access point belong to the sub-code tree in question.

10 7. A method as claimed in claim 6, **characterized** in that the data transmission rate of the traffic channel is changed by changing the length of its spreading code, i.e. by moving between the levels of the sub-code tree.

8. A method as claimed in claim 7, **characterized** in that each spreading code of a sub-code tree is numbered in an agreed manner and the number in question is entered into the transport format indicator.

15 9. A method as claimed in claim 8, **characterized** in that the number refers to at least two parallel spreading codes.

10. A method as claimed in claim 7, **characterized** in that the user equipment does not send an acknowledgement to the radio network subsystem after receiving the transport format indicator.

20 11. A method as claimed in claim 1, **characterized** in that signalling of the physical layer, data link layer and network layer are transmitted in the control channel.

25 12. A method as claimed in claim 6, **characterized** in that the radio network subsystem signals the tree access point of the sub-code tree to the user equipment and the user equipment sends an acknowledgement to the radio network subsystem.

13. A method as claimed in claim 12, **characterized** in that the signalling of the tree access point of the sub-code tree is performed as signalling of the MAC sub-layer in the data link layer.

30 14. A method as claimed in claim 6, **characterized** in that at least two different units of user equipment use the various spreading codes of the same sub-code tree.

15. A method as claimed in claim 14, **characterized** in that the radio network subsystem allocates the spreading codes.

16. A method as claimed in claim 15, **characterized** in that when the sub-code tree becomes congested, the user equipment can be transferred to another sub-code tree.

5 17. A method as claimed in claim 1, **characterized** in that the radio network subsystem transmits the traffic channel frames in a synchronized manner to the units of user equipment which belong to the same sub-code tree.

18. A method as claimed in claim 1, **characterized** in that the data transmission rate of the control channel is as low as possible.

10 19. A method as claimed in claim 1, **characterized** in that the control channel contains pilot bits for channel estimation.

20. A method as claimed in claim 1, **characterized** in that the traffic channel contains only useful load of the user.

15 21. A method as claimed in claim 1, **characterized** in that the radio network subsystem transmits the control channel frames of different user equipment as non-simultaneously as possible.

22. A method as claimed in claim 1, **characterized** in that other than control data, for instance data or speech, is transmitted in the free capacity of the control channel frame.

20 23. A method as claimed in claim 1, **characterized** in that the same spreading code is always used in spreading the control channel.

24. A method as claimed in claim 1, **characterized** in that the data transmission rate of the control channel is fixed.

25 25. A method as claimed in claim 1, **characterized** in that the method is used in a universal mobile telecommunication system using a direct-sequence wideband code division multiple access method.

26. A radio network subsystem (RNS) which is adapted to:  
- transmit a dedicated control channel to user equipment (UE);  
- transmit a dedicated traffic channel of variable data transmission  
30 rate to user equipment (UE);  
- spread each channel with a spreading code during transmission;  
- change the spreading code used to spread the traffic channel according to the required data transmission rate;

35 **characterized** in that the radio network subsystem is adapted to indicate in each control channel frame the spreading code with which the corresponding traffic channel frame is spread when transmitted.

27. A radio network subsystem as claimed in claim 26, **characterized** in that the radio network subsystem is adapted to transmit the control channel and traffic channel frames associated with each other on the same frequency, spread with different spreading codes, and  
5 substantially simultaneously, i.e. separated by one frame length at most.

28. A radio network subsystem as claimed in claim 26, **characterized** in that the control channel frame comprises a transport format indicator into which the radio network subsystem is adapted to enter the identification data of the spreading code used to spread the traffic channel.

10 29. A radio network subsystem as claimed in claim 26, **characterized** in that the spreading codes are arranged into a code tree in such a manner that on the first level, the code tree root comprises a one-bit spreading code, the second level comprises two branches with mutually orthogonal two-bit spreading codes, the third level comprises four  
15 branches with mutually orthogonal four-bit spreading codes, the fourth level comprises eight branches with mutually orthogonal eight-bit spreading codes, the fifth level comprises sixteen branches with mutually orthogonal sixteen-bit spreading codes, the sixth level comprises thirty two branches with mutually orthogonal thirty-two-bit spreading codes, the seventh level comprises sixty  
20 four branches with mutually orthogonal sixty-four-bit spreading codes, the eighth level comprises one hundred and twenty eight branches with mutually orthogonal 128-bit spreading codes, the ninth level comprises two hundred and fifty six branches with mutually orthogonal 256-bit spreading codes.

30. A radio network subsystem as claimed in claim 29, **characterized** in that the radio network subsystem is adapted to reserve a part of the spreading codes in the code tree for the use of the control  
25 channels.

31. A radio network subsystem as claimed in claim 29, **characterized** in that the radio network subsystem is adapted to divide  
30 the code tree into sub-code trees, and one branch in a level is a tree access point to a sub-code tree, and the branches below the tree access point belong to the sub-code tree in question.

32. A radio network subsystem as claimed in claim 31, **characterized** in that the radio network subsystem is adapted to  
35 change the data transmission rate of the traffic channel by changing the length of its spreading code, i.e. by moving between the levels of the sub-code tree.

33. A radio network subsystem as claimed in claim 32, **characterized** in that the radio network subsystem is adapted to number each spreading code of a sub-code tree in an agreed manner and to enter the number in question into the transport format indicator.

5        34. A radio network subsystem as claimed in claim 33, **characterized** in that the number refers to at least two parallel spreading codes.

35. A radio network subsystem as claimed in claim 32, **characterized** in that the radio network subsystem does not expect an acknowledgement from the user equipment after transmitting the transport format indicator to the user equipment.

10       36. A radio network subsystem as claimed in claim 26, **characterized** in that the radio network subsystem is adapted to transmit signalling of the physical layer, data link layer and network layer in the control channel.

37. A radio network subsystem as claimed in claim 31, **characterized** in that the radio network subsystem is adapted to signal the tree access point of the sub-code tree to the user equipment and to await an acknowledgement to its signalling from the user equipment.

20       38. A radio network subsystem as claimed in claim 37, **characterized** in that the radio network subsystem is adapted to perform the signalling of the tree access point of the sub-code tree as signalling of the MAC sub-layer in the data link layer.

39. A radio network subsystem as claimed in claim 31, **characterized** in that the radio network subsystem is adapted to use the various spreading codes of the same sub-code tree for at least two different units of user equipment.

40. A radio network subsystem as claimed in claim 39, **characterized** in that the radio network subsystem is adapted to allocate the spreading codes.

41. A radio network subsystem as claimed in claim 40, **characterized** in that when the sub-code tree becomes congested, the radio network subsystem is adapted to transfer the user equipment to another sub-code tree.

35       42. A radio network subsystem as claimed in claim 26, **characterized** in that the radio network subsystem is adapted to



transmit the traffic channel frames in a synchronized manner to the units of user equipment which belong to the same sub-code tree.

43. A radio network subsystem as claimed in claim 26, **characterized** in that the radio network subsystem is adapted to set the data transmission rate of the control channel as low as possible.

44. A radio network subsystem as claimed in claim 26, **characterized** in that the radio network subsystem is adapted to place pilot bits into the traffic channel for channel estimation.

45. A radio network subsystem as claimed in claim 26, **characterized** in that the radio network subsystem is adapted to place only useful load in the traffic channel.

46. A radio network subsystem as claimed in claim 26, **characterized** in that the radio network subsystem is adapted to transmit the control channel frames of different user equipment as non-simultaneously as possible.

47. A radio network subsystem as claimed in claim 26, **characterized** in that the radio network subsystem is adapted to place other than control data, for instance data or speech, in the free capacity of the control channel frame.

48. A radio network subsystem as claimed in claim 26, **characterized** in that the radio network subsystem is adapted to always use the same spreading code in spreading the control channel.

49. A radio network subsystem as claimed in claim 26, **characterized** in that the radio network subsystem is adapted to transmit the control channel at a fixed data transmission rate.

50. A radio network subsystem as claimed in claim 26, **characterized** in that the radio network subsystem is a part of a universal mobile telecommunication system using a direct-sequence wideband code division multiple access method.

51. User equipment (UE) which is adapted to:

- receive a dedicated control channel transmitted by the radio network subsystem (RNS);

- receive a dedicated traffic channel of variable data transmission rate transmitted by the radio network subsystem (RNS);

- remove the spreading of each channel with a spreading code,

**characterized** in that the user equipment is adapted to read from each control channel frame the spreading code with which the corresponding traffic channel frame is spread.

5           52. User equipment as claimed in claim 51, **characterized** in that the user equipment is adapted to receive the control channel frames and traffic channel frames associated with each other transmitted by the radio network subsystem on the same frequency, spread with different spreading codes, and substantially simultaneously, i.e. separated by one frame length at most.

10           53. User equipment as claimed in claim 51, chat in that the control channel frame comprises a transport format indicator from which the user equipment is adapted to read the identification data of at least one spreading code used to spread the traffic channel.

15           54. User equipment as claimed in claim 51, **characterized** in that the user equipment is adapted to perform channel estimation by means of the pilot bits in the control channel.

          55. User equipment as claimed in claim 51, **characterized** in that the user equipment is adapted to always use the same spreading code in removing the control channel spreading.

20           56. User equipment as claimed in claim 51, **characterized** in that the user equipment is used in a universal mobile telecommunication system using a direct-sequence wideband code division multiple access method.

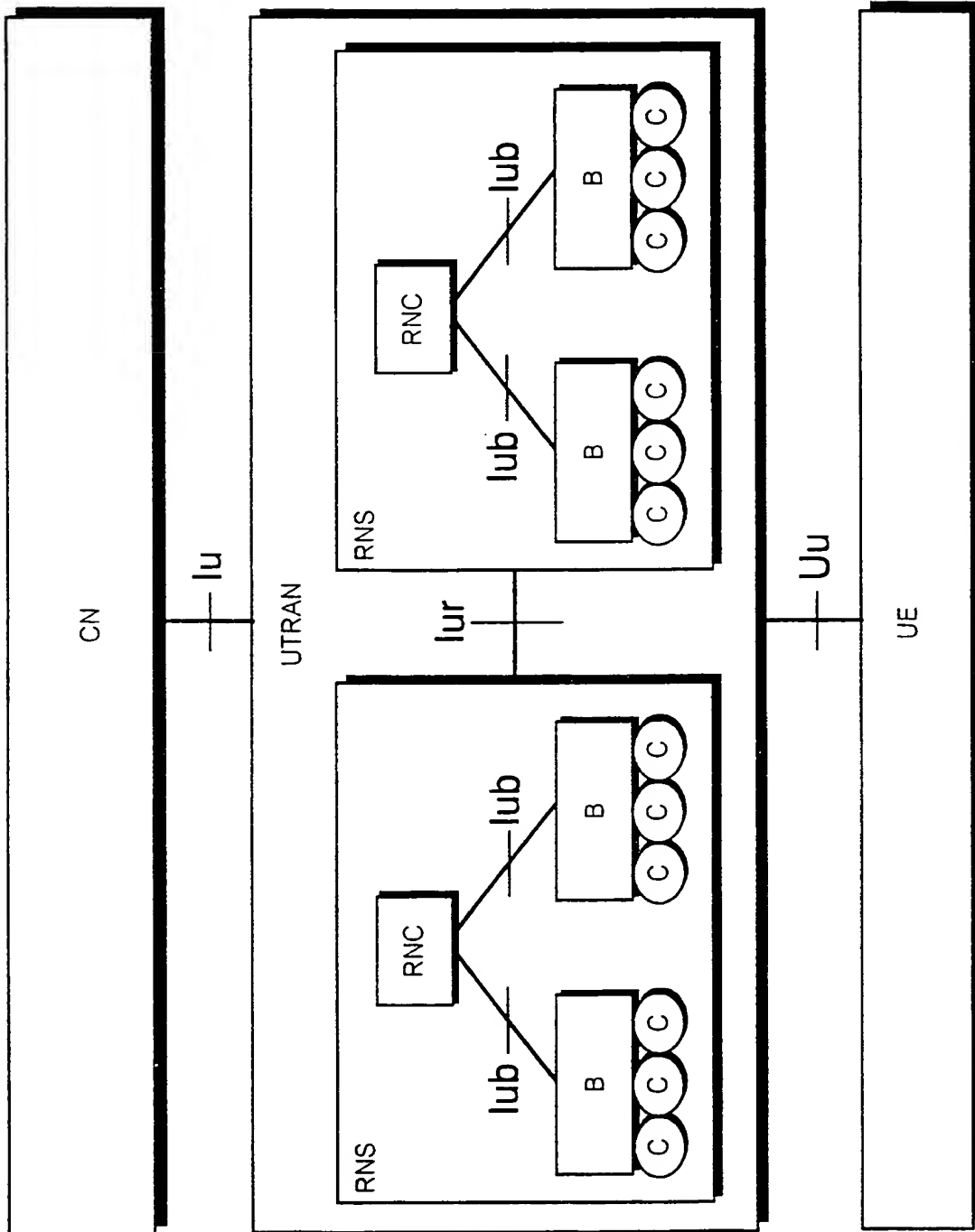


Fig 1A

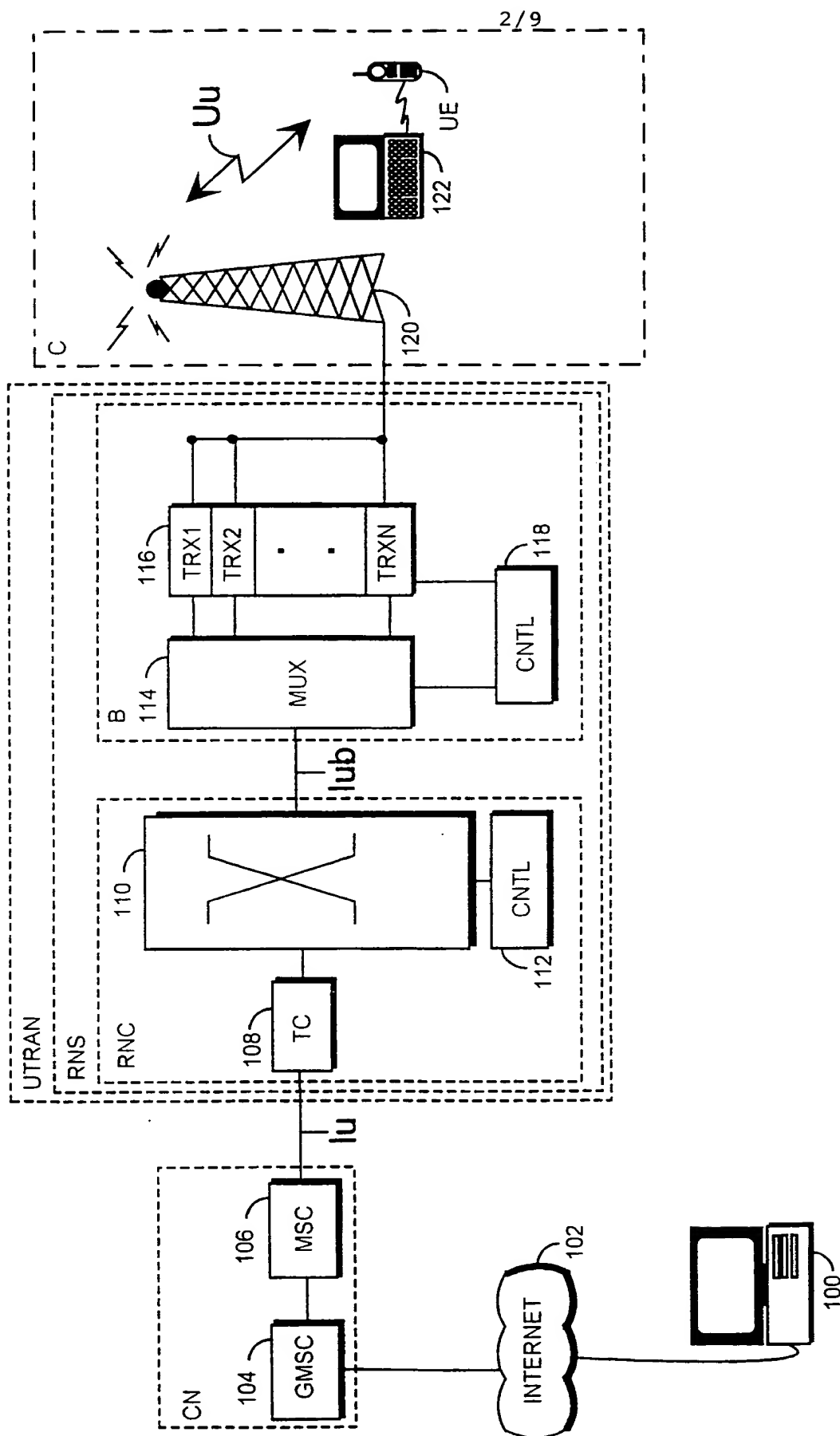


Fig 1B

3 / 9

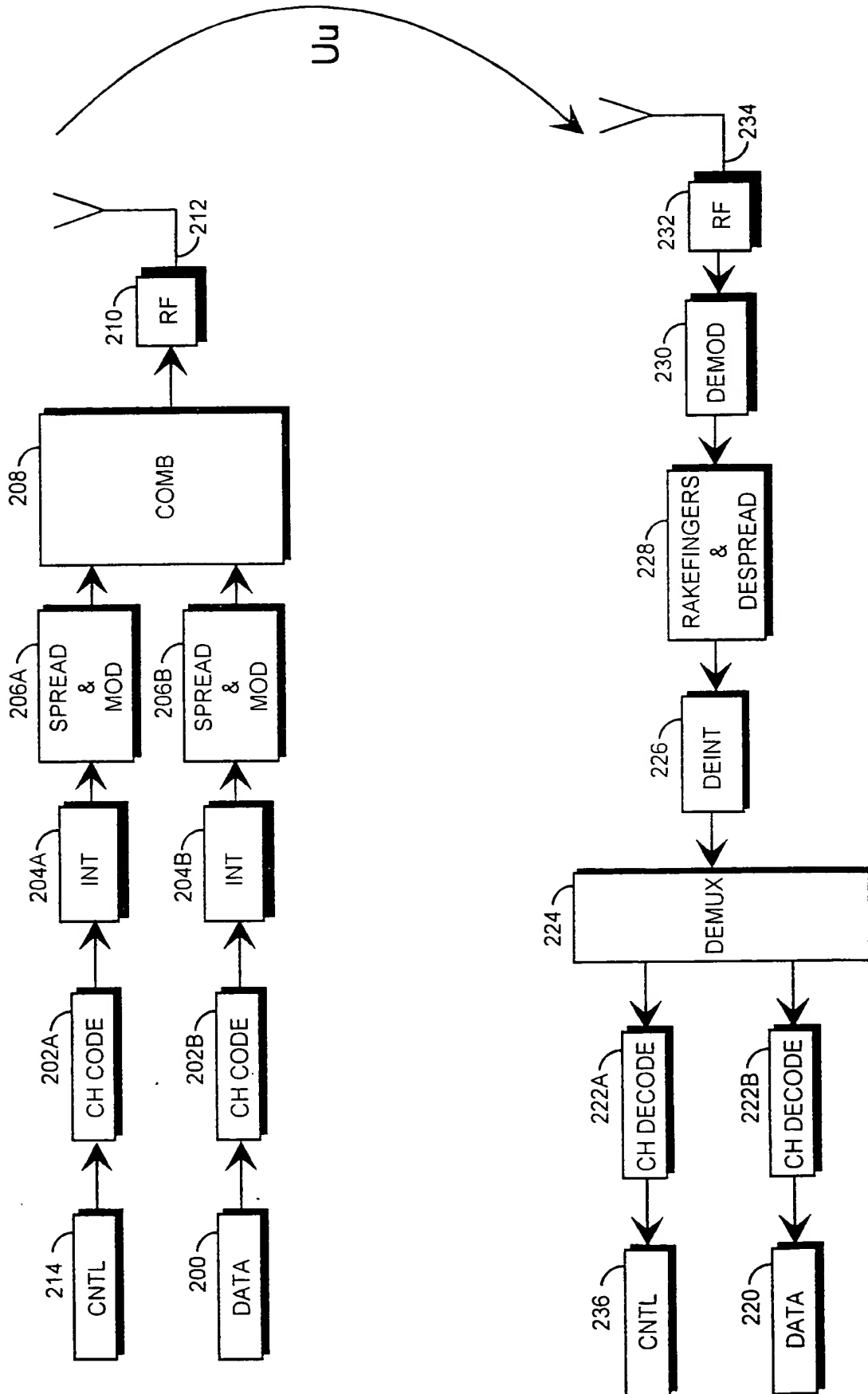


Fig 2A

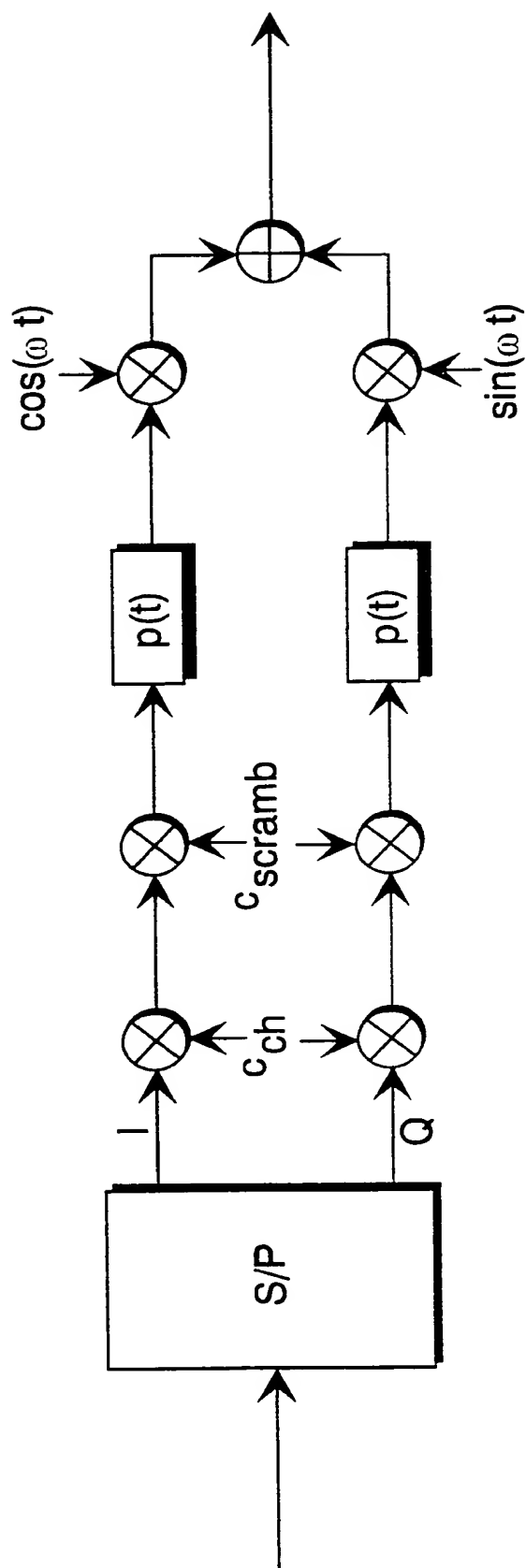


Fig 2B

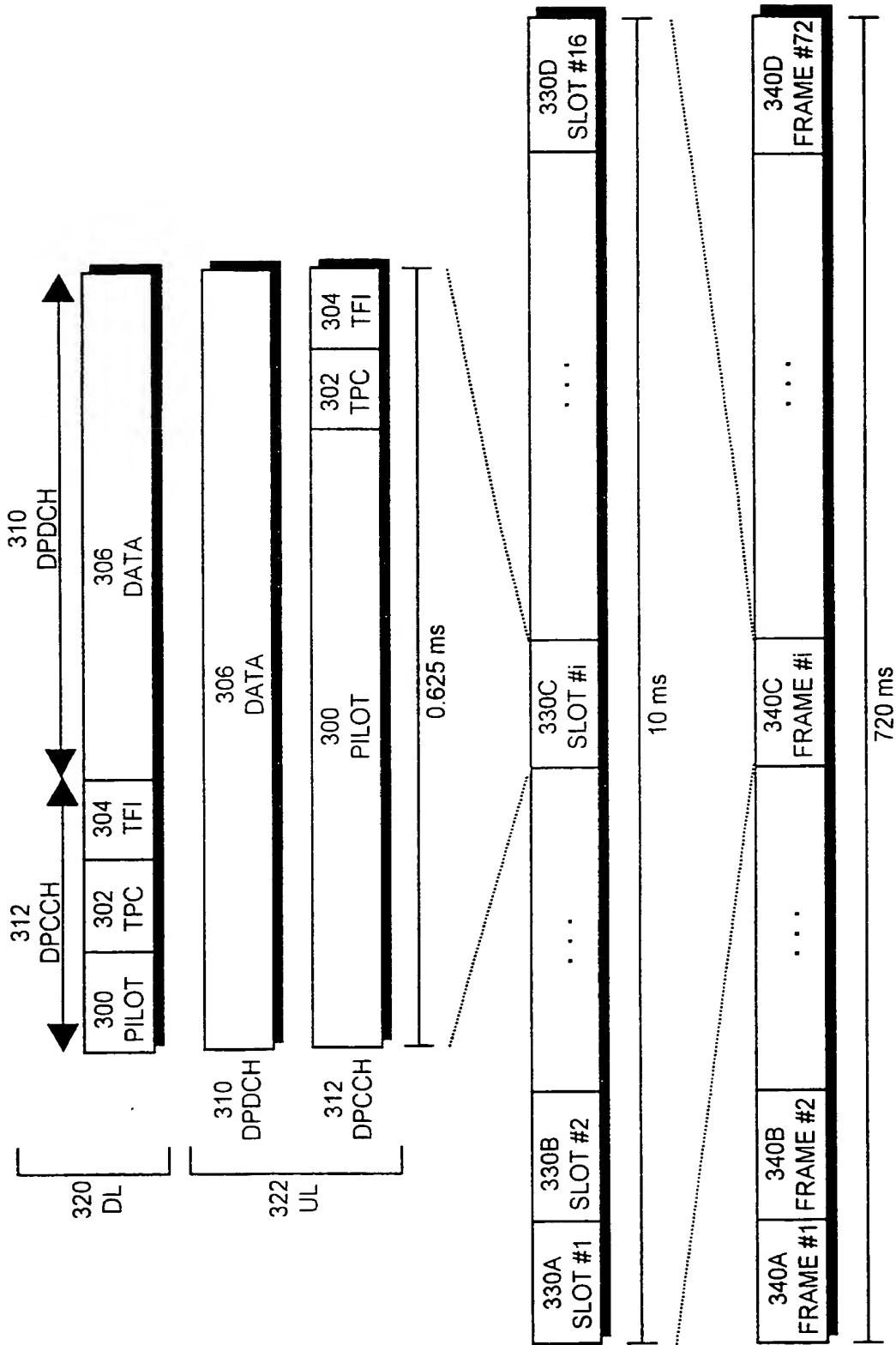


Fig 3

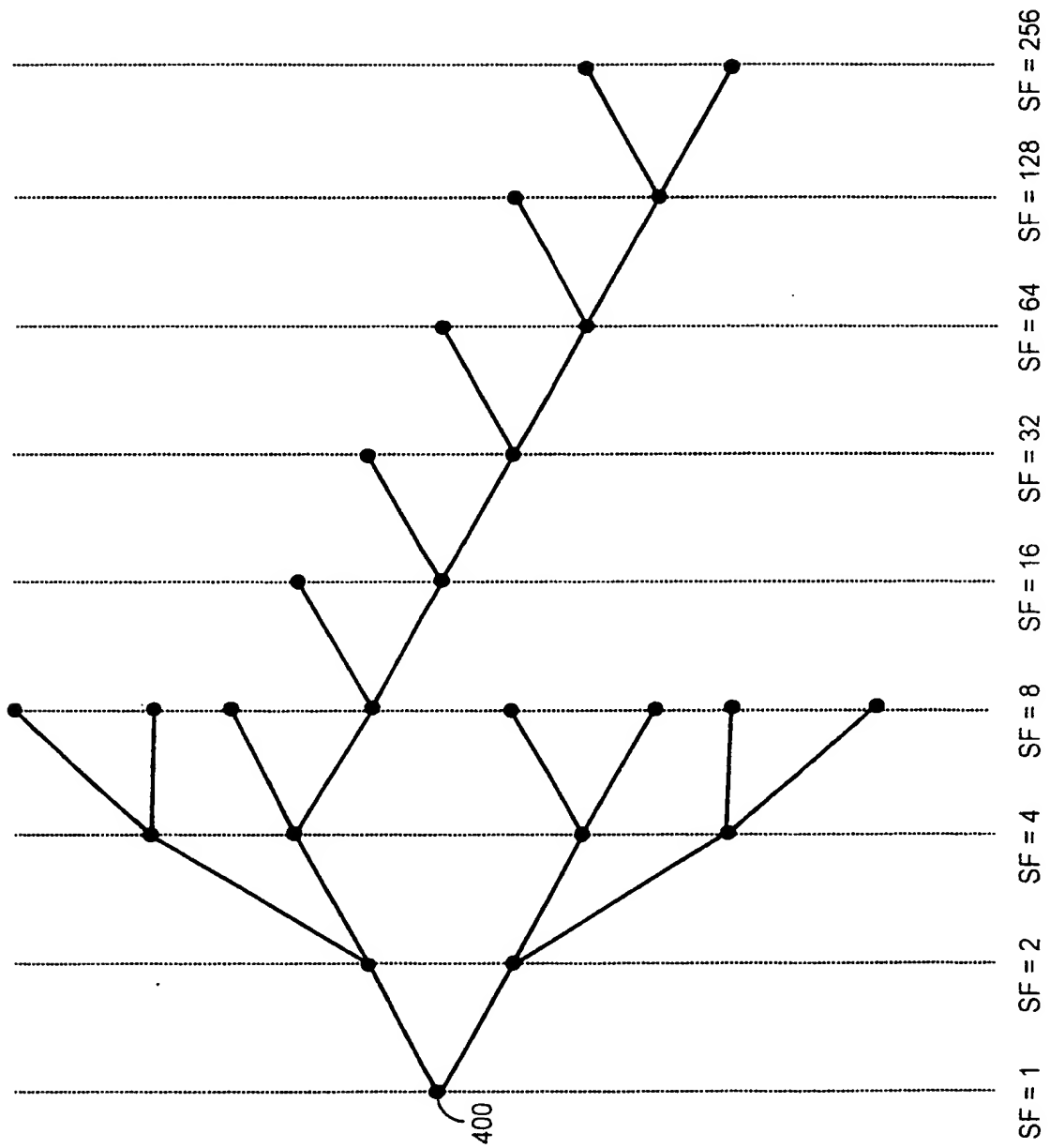


Fig 4A



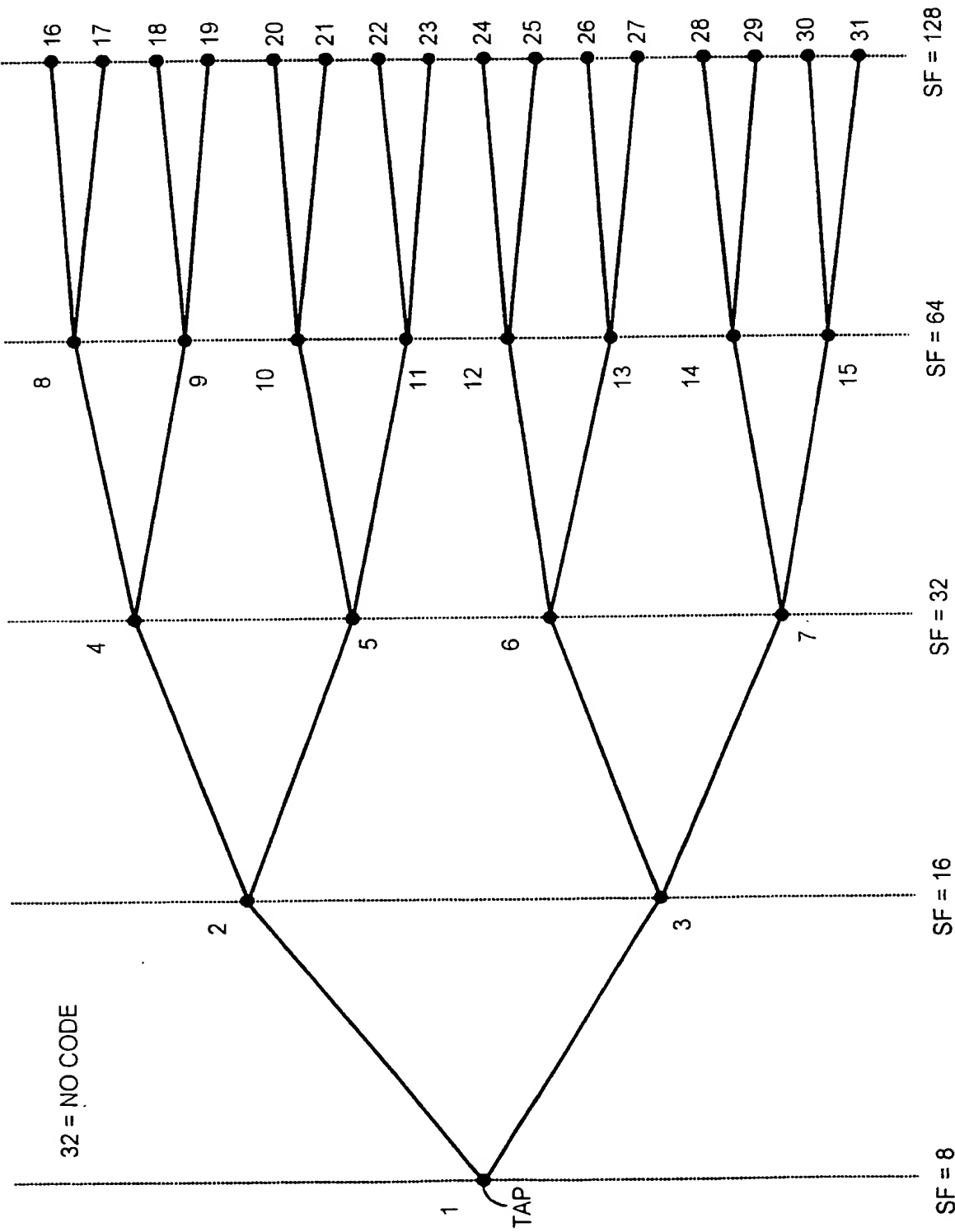


Fig 4B

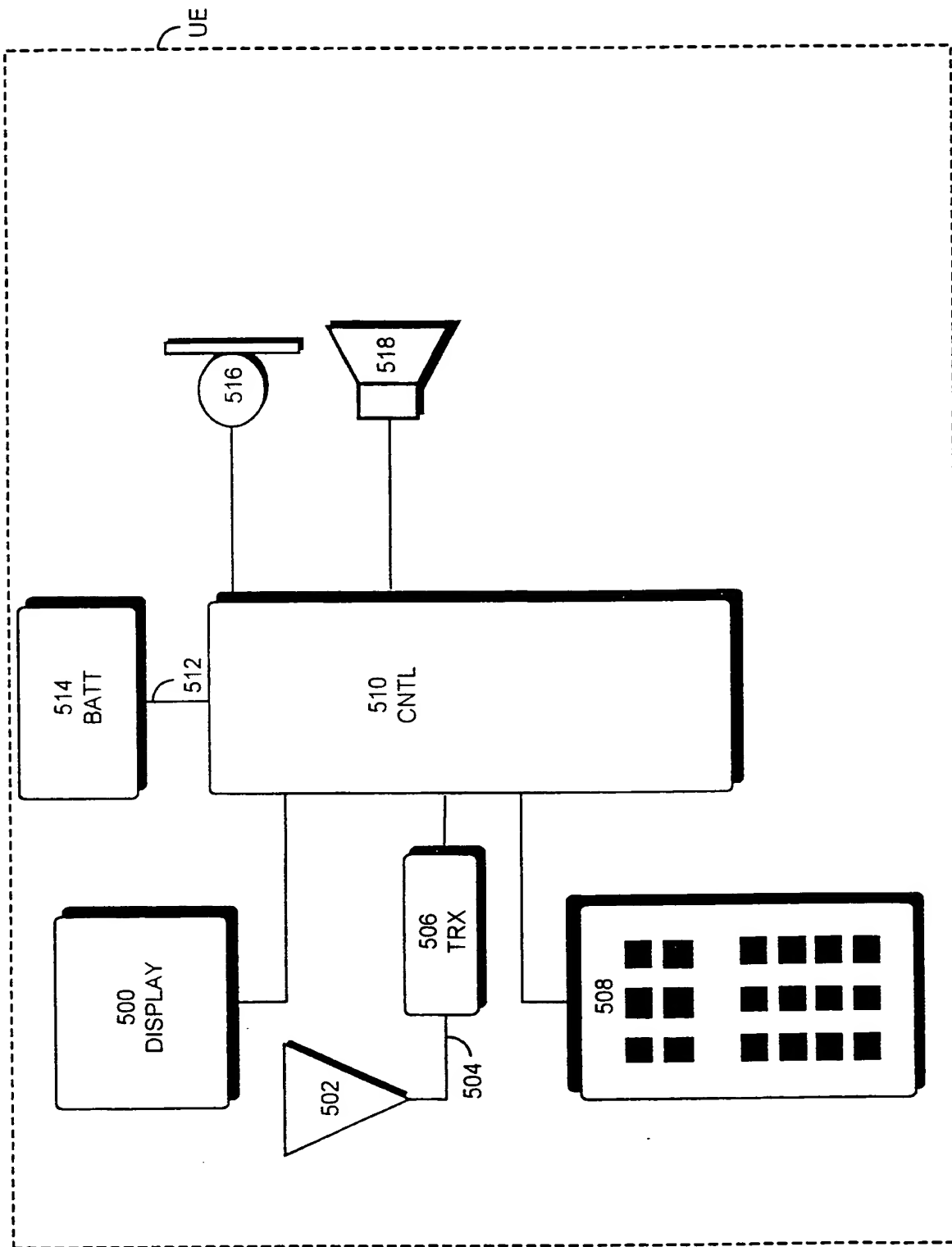


Fig 5

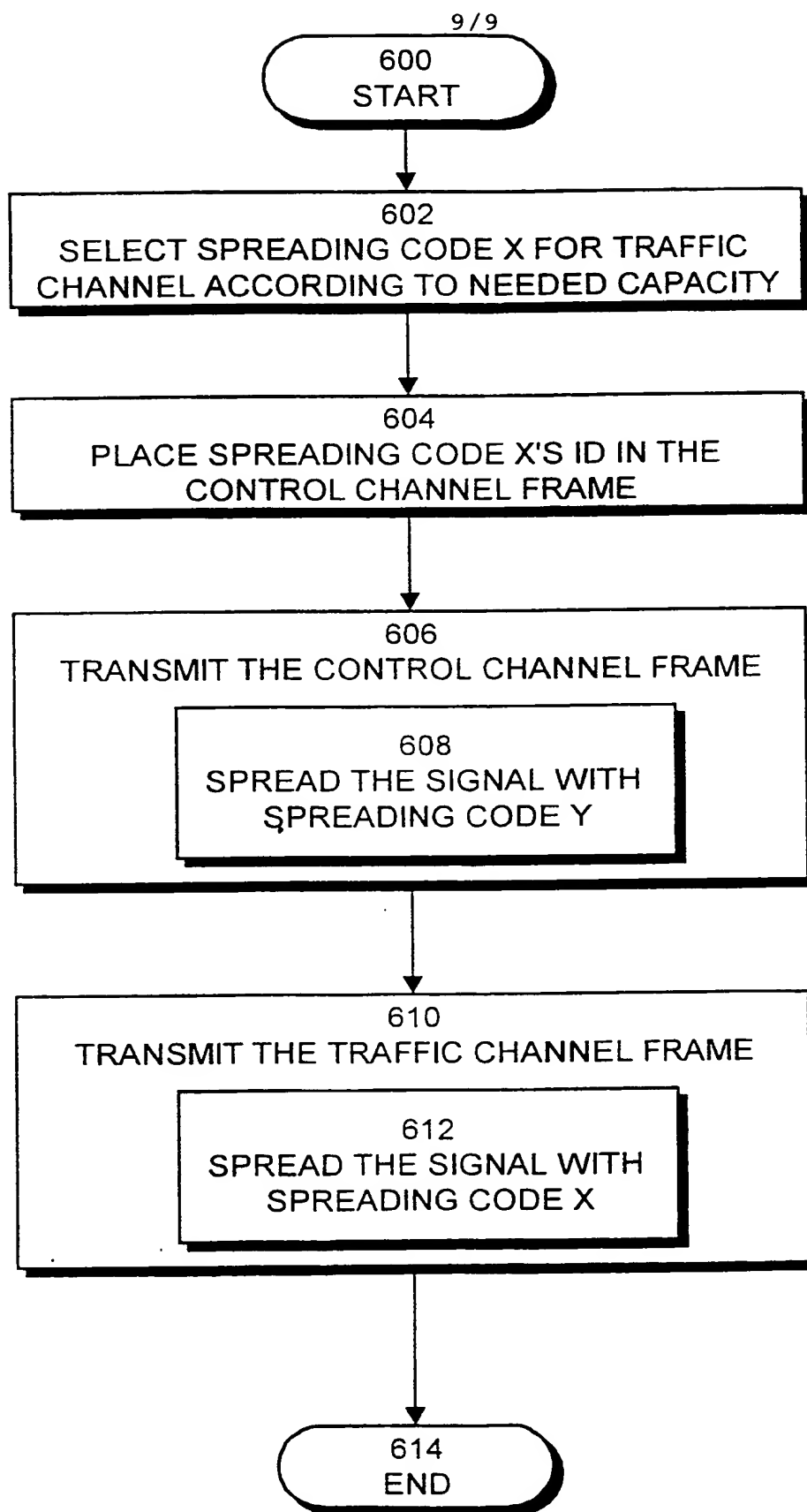


Fig 6

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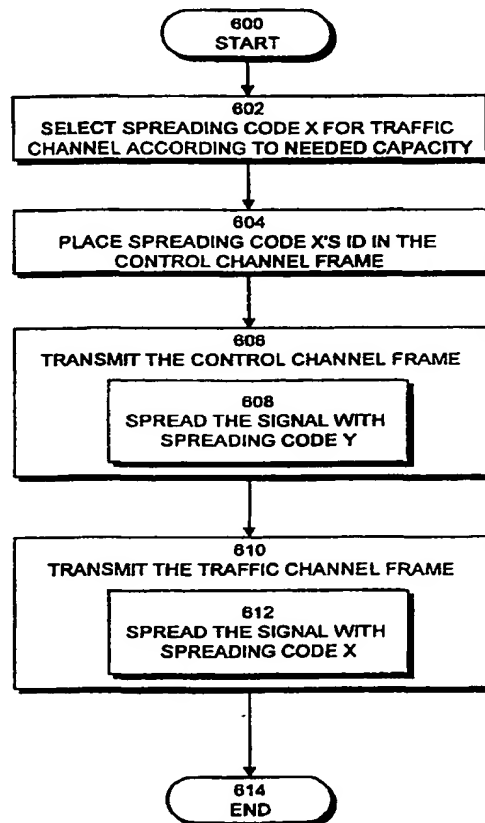
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(54) Title: DATA TRANSMISSION METHOD AND MOBILE TELEPHONE SYSTEM

(57) Abstract

The invention relates to a method for transmitting data from a radio network subsystem (RNS) to user equipment (UE) in a mobile telephone system, a radio network subsystem (RNS) and user equipment (UE). In the method, (606) the radio network subsystem (RNS) transmits a dedicated control channel to the user equipment (UE) and (610) a dedicated traffic channel of variable data transmission rate to the user equipment (UE). During transmission, (608, 612) the radio network subsystem spreads each channel with a spreading code, and (602) the spreading code used to spread the traffic channel is changed according to the required data transmission rate. According to the invention, (604) each control channel frame indicates the spreading code with which the corresponding traffic channel is spread when transmitted.



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International application No.

PCT/FI 99/00581

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H04B 1/69, H04B 1/707

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,A	EP 0944275 A2 (HITACHI, LTD.), 22 Sept 1999 (22.09.99), column 2, line 50 - column 3, line 11; column 9, line 3 - line 45 --	1-56
A	US 5533013 A (P. LEPPANEN), 2 July 1996 (02.07.96), abstract --	1-56
A	EP 0600713 A2 (NOKIA MOBILE PHONES LTD. ET AL.), 8 June 1994 (08.06.94), abstract -- -----	1-56

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

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"&amp;" document member of the same patent family

Date of the actual completion of the international search

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**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

02/12/99

International application No.

PCT/FI 99/00581

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